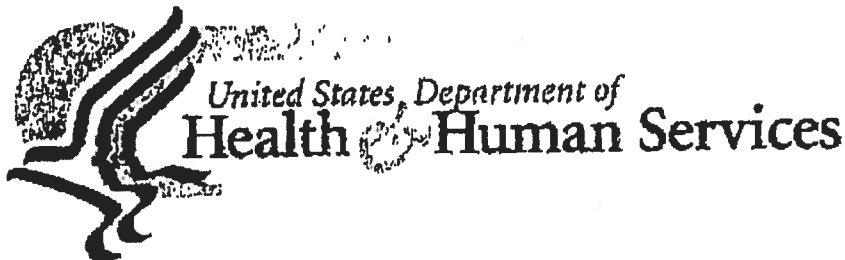


Confidential

PROGRAM SUPPORT CENTER
FINANCIAL MANAGEMENT SERVICE
DIVISION OF COST ALLOCATION
CENTRAL STATES FIELD OFFICE
Dallas, Texas



FAX TRANSMISSION

To: Ann Strasser, Assistant Controller	From: Shon Turner 214-767-3267
Fax: 574-631-4983	Number Of Pages: 6
Phone: 574-631-5437	Date:
Re: Rate Agreement	CC:

- Urgent
 For Review
 Please Comment
 Please Reply
 Please Recycle

• Comments:

If you have any problems with the legibility of any part of the agreement, please contact Shon Turner at 214-767-3267.

Please fax back only the signed page of the agreement to fax number 214-767-3264. A fax cover sheet is not required.

THANK YOU.



DEPARTMENT OF HEALTH & HUMAN SERVICES

Program Support Center
 Financial Management Service
 Division of Cost Allocation

February 6, 2013

Mr. John Sejdinaj
 Vice President - Finance
 University of Notre Dame
 804 Grace Hall
 Notre Dame, IN 46556-5612

Room 732
 1301 Young Street
 Dallas, TX 75202
 PHONE: (214) 767-3261
 FAX: (214) 767-3264

Dear Mr. Sejdinaj:

A copy of a fringe benefit (FB) Rate Agreement is being faxed to you for your signature. This Agreement reflects an understanding reached between your organization and a member of my staff concerning F&A and FB rates that may be used to support your claim for these indirect costs on grants and contracts with the Federal Government.

Please have the Agreement signed by an authorized representative of your organization and fax or email it to me, retaining the copy for your files. Our fax number is (214) 767-3264 and email address is shon.turner@psc.hhs.gov. We will reproduce and distribute the Agreement to the appropriate awarding organizations of the Federal Government for their use.

In addition, your FB cost rates for fiscal year ending June 30, 2013 based on actual costs for the fiscal year June 30, 2011 and FB cost rates for fiscal year ending June 30, 2014 based on actual costs for fiscal year ended June 30, 2012 over-recovered + or under-recovered (-) amounts are listed below:

		<u>2011/2013</u>	<u>2012/2014</u>
(Full-time) Regular Faculty and Staff	-	\$ (0)	\$ (13,026)
(Part-time) Faculty and Staff	-	\$ (0)	\$ 439
Post Doctoral Staff	-	\$ (0)	\$ 2,806
Exempt Staff	-	\$ (0)	\$ 56,216
Non-Exempt Staff	-	\$ (0)	\$ 24,883
Temporary Staff	-	\$ (0)	\$ 3,133
Undergraduate Students	-	\$ (0)	\$ (563)
Graduate Students	-	\$ (0)	\$ 8,070

The fixed rate(s) for fiscal year ended 2011 and 2012 are considered final.

A FB cost proposal, together with supporting information and the certified audit financial statements, is required each year. Thus, your next FB cost proposal based on actual costs for the fiscal year ending June 30, 2013 is due in our office by December 31, 2013. Your next F&A proposal based on actual costs for the fiscal year ending June 30, 2014 is due in our office by December 31, 2014.

Mr. John Sejdinaj
February 6, 2013
Page 2

Since this is an integral part of the Negotiation Agreement, please note your acceptance by signing in the space provided below.

Sincerely,



Arif Karim, Director
Division of Cost Allocations
Central States Field Office

Enclosures

ACCEPTANCE:

University of Notre Dame
(Institution)


(Signature)

VICE PRESIDENT FOR FINANCE
(Title)

JOHN A. SEJDINAJ
(Name)

FEB. 14, 2013
(Date)

COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1350868188A1

DATE:02/06/2013

ORGANIZATION:

FILING REF.: The preceding agreement was dated 01/03/2012

University of Notre Dame
804 Grace Hall
Notre Dame, IN 46556-5612

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: INDIRECT COST RATES

RATE TYPES:		FIXED	FINAL	PROV. (PROVISIONAL)	PRED. (PREDETERMINED)
<u>EFFECTIVE PERIOD</u>					
<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PRED.	07/01/2011	06/30/2015	52.00	On Campus	Organized Research
PRED.	07/01/2011	06/30/2015	52.00	On Campus	Instruction
PRED.	07/01/2011	06/30/2015	29.00	On Campus	Other Spon. Acts.
PRED.	07/01/2011	06/30/2015	28.00	On Campus	Radiation Lab
PRED.	07/01/2011	06/30/2015	21.00	Off Campus	Radiation Lab
PRED.	07/01/2011	06/30/2015	26.00	Off Campus	All Programs (except Radiation Lab)
PROV.	07/01/2015	Until Amended			"Use same rates and conditions as those cited for FYE June 30, 2015."

*BASE

ORGANIZATION: University of Notre Dame

AGREEMENT DATE: 2/6/2013

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

ORGANIZATION: University of Notre Dame

AGREEMENT DATE: 2/6/2013

SECTION I: FRINGE BENEFIT RATES**

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
FIXED	7/1/2012	6/30/2013	25.00	All	F/T Faculty & Staff
FIXED	7/1/2012	6/30/2013	15.30	All	P/T Faculty & Staff
FIXED	7/1/2012	6/30/2013	19.40	All	Post Doctoral Staff
FIXED	7/1/2012	6/30/2013	27.80	All	Exempt Staff
FIXED	7/1/2012	6/30/2013	41.70	All	Non-Exempt Staff
FIXED	7/1/2012	6/30/2013	7.60	All	Temporary Staff
FIXED	7/1/2012	6/30/2013	1.40	All	Undergraduate Students
FIXED	7/1/2012	6/30/2013	5.50	All	Graduate Students
FIXED	7/1/2013	6/30/2014	26.70	All	F/T Faculty & Staff
FIXED	7/1/2013	6/30/2014	16.90	All	P/T Faculty & Staff
FIXED	7/1/2013	6/30/2014	23.30	All	Post Doctoral Staff
FIXED	7/1/2013	6/30/2014	31.00	All	Exempt Staff
FIXED	7/1/2013	6/30/2014	48.90	All	Non-Exempt Staff
FIXED	7/1/2013	6/30/2014	7.90	All	Temporary Staff
FIXED	7/1/2013	6/30/2014	2.00	All	Undergraduate Students
FIXED	7/1/2013	6/30/2014	6.70	All	Graduate Students

ORGANIZATION: University of Notre Dame

AGREEMENT DATE: 2/6/2013

PROV.	7/1/2014	Until amended	"Use same rates and conditions as cited for fiscal year ended 6/30/14."
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** DESCRIPTION OF FRINGE BENEFITS RATE BASE:

Salaries and wages.

ORGANIZATION: University of Notre Dame

AGREEMENT DATE: 2/6/2013

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

- 1) For awards issued on or before FYE 6/30/11, the fringe benefits are specifically identified to each employee and are charged individually as direct costs. The directly claimed fringe benefits are listed below.
- 2) For awards issued after 7/1/11, the fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

OFF-CAMPUS DEFINITION: For all activities performed in facilities not owned by the institution and to which rent is directly allocated to the project(s) the off-campus rate will apply. Grants or contracts will not be subject to more than one F&A cost rate. If more than 50% of a project is performed off-campus, the off-campus rate will apply to the entire project.

Equipment Definition -

Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit.

FRINGE BENEFITS:

FICA

Retirement

Disability Insurance

Worker's Compensation

Life Insurance

Unemployment Insurance

Health Insurance

Compensated Absences(not including Vacation & Holidays)

ORGANIZATION: University of Notre Dame

AGREEMENT DATE: 2/6/2013

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant. The rates in this Agreement are subject to the extent that funds are available. Acceptance of the rates is subject to the contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-21, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:

University of Notre Dame

(INSTITUTION)

(SIGNATURE)

(NAME)

(TITLE)

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

(SIGNATURE)

Arif Karim

(NAME)

Director, Division of Cost Allocation

(TITLE)

2/6/2013

(DATE) 5677

HHS REPRESENTATIVE: Shon Turner

Telephone:

(214) 767-3261